Finding the Wolf in Sheep’s Clothing: The 4Kscore Is a Novel Blood Test That Can Accurately Identify the Risk of Aggressive Prostate Cancer

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Better biomarkers that can discriminate between aggressive and indolent phenotypes of prostate cancer are urgently needed. In the first 20 years of the prostate-specific antigen (PSA) era, screening for prostate cancer has successfully reduced prostate cancer mortality, but has led to significant problems with overdiagnosis and overtreatment. As a result, many men are subjected to unnecessary prostate biopsies and overtreatment of indolent cancer in order to save one man from dying of prostate cancer.

A novel blood test known as the 4Kscore® Test (OPKO Lab, Nashville, TN) incorporates a panel of four kallikrein protein biomarkers (total PSA, free PSA, intact PSA, and human kallikrein-related peptidase 2) and other clinical information in an algorithm that provides a percent risk for a high-grade (Gleason score $\geq 7$) cancer on biopsy. In 10 peer-reviewed publications, the four kallikrein biomarkers and algorithm of the 4Kscore Test have been shown to improve the prediction not only of biopsy histopathology, but also surgical pathology and occurrence of aggressive, metastatic disease. Recently, a blinded prospective trial of the 4Kscore Test was conducted across the United States among 1012 men. The 4Kscore Test replicated previous European results showing accuracy in predicting biopsy outcome of Gleason score $\geq 7$. In a recent case-control study nested within a population-based cohort from Västerbotten, Sweden, the four kallikrein biomarkers of the 4Kscore Test also predicted the risk for aggressive prostate cancer that metastasized within 20 years after the test was administered. These results indicate that men with an abnormal PSA or digital rectal examination result, and for whom an initial or repeat prostate biopsy is being considered, would benefit from a reflex 4Kscore Test to add important information to the clinical decision-making process.
A high-risk 4Kscore Test result may be used to select men with a high probability of aggressive prostate cancer who would benefit from a biopsy of the prostate to prevent an adverse and potentially lethal outcome from prostate cancer. Men with a low 4Kscore Test result may safely defer biopsy.

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**KEY WORDS**

Prostate cancer • Biomarker • High-grade prostate cancer • Screening

Prostate cancer is the most common cancer in men in the United States, accounting for an estimated 27% of all newly diagnosed cancers in 2014. Since the advent of screening for prostate cancer with serum prostate-specific antigen (PSA), we have seen a significant decline in prostate cancer mortality. Randomized clinical trials have reported a 20% to 40% reduction in death from prostate cancer in men undergoing routine screening compared with those who are not screened. However, these trials, and a trial showing little difference between opportunistic and systematic screening, have raised the concern for overdiagnosis and overtreatment of indolent prostate cancer. The fundamental concern is that an overwhelming number of men are subjected to interventions such as prostate biopsy in order to prevent one man’s death from prostate cancer.

Prostate biopsy is an invasive procedure with significant complications, such as bleeding, urinary retention, and life-threatening infection. A recent population-based study from Ontario, Canada, revealed a fourfold increase to 4.1% for the rate of hospital admissions after prostate biopsy from 1996 to 2005, with 72% of admissions being due to infection. These risks, combined with the enormous anxiety involved in undergoing the procedure, present a significant burden to any man considering prostate cancer screening.

Today, most men diagnosed with prostate cancer have a tumor that is unlikely to pose a threat to their life expectancies. A recent systematic analysis suggested that up to 60% of prostate cancers diagnosed in contemporary studies can be safely observed without a need for immediate intervention. However, in the United States, because of the concern for possible undergrading of prostate cancer due to biopsy sampling error, 90% of men diagnosed with prostate cancer undergo treatment and approximately 66% will be confirmed to have indolent Gleason score 6 prostate cancer, suggesting a significant problem with overtreatment. Although treatment for localized prostate cancer provides excellent cancer control, it comes at a significant detriment to health-related quality of life. Previous studies have reported significant changes in health-related quality of life (HRQoL) after primary treatment for prostate cancer, primarily in the domains of sexual and urinary function and bother. Given the physical and psychological burden of these secondary adverse events, many government agencies and patients are beginning to question the risks and benefits of prostate cancer screening and treatment.

The United States Preventive Services Task Force recently advised against routine screening for prostate cancer, claiming that the risks of screening outweigh the benefits. However, 20% to 30% of men who are diagnosed with prostate cancer are found to have high-grade disease at presentation; without screening, these men would lose their opportunity for cure. It is clear that new biomarkers or tests that promote the detection of both indolent and aggressive prostate cancer are unlikely to be helpful. We need tests that focus on the detection of aggressive tumors, not the indolent ones that are better left alone. Aggressive prostate cancer, for purposes of this review, is defined as cancer with a Gleason score $\geq 7$ and tumors that are most likely to progress to metastatic disease and death.
Targeted detection of aggressive prostate cancer would allow urologists to diagnose and treat those men most likely to benefit from aggressive intervention to avoid premature death. Conversely, those men harboring non–life-threatening disease would be able to avoid unnecessary interventions. The 4Kscore® Test (OPKO Lab, Nashville, TN) is a new blood test that accurately identifies the risk of aggressive prostate cancer. The 4Kscore Test plays an important clinical role as a reflex test prior to proceeding with initial prostate biopsy in men with an elevated PSA level or abnormal digital rectal examination (DRE) results, or after a prior negative biopsy and persistently abnormal PSA levels.

Biomarkers Available for the Early Detection of Aggressive Prostate Cancer

Unquestionably, the most commonly used marker for detection of prostate cancer is serum PSA. However, since its introduction in the early 1990s, the limitations of PSA in this regard have been widely recognized. Alternative methods of utilizing PSA, such as PSA velocity, have been reported to improve the specificity for prostate cancer detection. However, recent studies have also suggested that using PSA velocity can result in an increase of unnecessary biopsies, and adds very little to the predictive value of a single PSA measurement alone. Similarly, PSA density has been promoted as another method to improve prostate cancer detection. However, measurement of prostate volume is an invasive, time-consuming procedure. Although both PSA enhancements, at best, provide a slight improvement from PSA alone, these techniques are far from the magnitude of improvement needed to reliably discern between aggressive and indolent forms of prostate cancer.

PCA3 and TMPRSS2:ERG fusion are biomarkers measured in the urine immediately following vigorous prostatic massage. Both biomarkers have been actively studied for their ability to stratify prostate cancer risk. PCA3 expresses noncoding RNA that is highly overexpressed in prostate tumor tissue compared with normal prostate tissue, whereas TMPRSS2:ERG fusion represents a chromosomal rearrangement of the TMPRSS2 androgen-related gene with ERG or ETV1, two transcription factors from the ETS family. Although both of these markers have been shown to be associated with Gleason grade, they have serious limitations. For PCA3, the results can be difficult to interpret, partly due to lack of an optimal cutoff for a positive test result. Furthermore, although PCA3 is present at elevated levels in prostate tissue, it has not been consistently linked to the detection of more advanced or aggressive disease. The TMPRSS2:ERG fusion has been studied with equivocal results on its specificity for aggressive prostate cancer.

The Prostate Health Index (PHI) is a blood-based, multibiomarker test that combines free and total PSA with [-2]proPSA, a precursor form of free PSA, in the following algorithm: \[ ([p2PSA/fPSA] \times tPSA), \] In a prospective study of 892 men, PHI displayed an area under the receiver operator curve (AUC) of 0.72 for high-grade disease defined as Gleason score \( \geq 4 + 3 = 7 \). A recent meta-analysis of 16 published studies assessing PHI for the detection of high-grade (Gleason score \( \geq 7 \)) prostate cancer reported a pooled sensitivity of 0.90 (95% confidence interval [CI], 0.87-0.92), and pooled specificity of only 0.17 (95% CI, 0.14-0.19). The pooled AUC of PHI for high-grade disease was only 0.67 (95% CI, 0.57-0.77). In a recent multicenter European prospective trial of 489 men undergoing radical prostatectomy, the PHI test was found to be a significant predictor of unfavorable histopathology at surgery. However, the authors found that using PHI added very little net benefit for clinical decision making, and questioned its clinical utility. The PHI clinical data have been used to report risk of aggressive cancer as a four-tiered probability based on various PHI cutoffs. However, the risk score has not been calibrated or validated prospectively. There are also no published long-term outcomes studies associated with the clinical use of the PHI test in either predicting development of metastatic disease or demonstrating the safety of deferring biopsy in men with a low PHI result.

The Four-Kallikrein Panel

Tissue kallikrein and kallikrein-related enzymes are a family of 15 closely related serine proteases with high homology. Although messenger RNA expression of all 15 kallikreins can be detected in prostate tissue, by far the dominant kallikreins are KLK2 (the gene that produces the enzyme human kallikrein-2 [hK2]) and KLK3 (the gene that produces the enzyme human kallikrein-3, or PSA). These kallikreins, and various molecular forms of each, have
Randomized Study of Prostate Cancer Screening (ERSPC), the Malmö Diet and Cancer Study, the Västerbotten Intervention Project, and the validation study recently conducted in the United States by OPKO Health (Table 1). The first clinical report of the four-kallikrein panel was among 740 previously unscreened men who underwent biopsy for a PSA $\geq 3.0$ ng/mL during the first round of screening in the Göteborg arm of the ERSPC. Among the 740 men in the cohort, 152 (21%) were diagnosed with a Gleason score 6 cancer, whereas 40 (5%) were diagnosed with a Gleason score $\geq 7$ cancer. The AUC for detecting Gleason score $\geq 7$ prostate cancer for a base model consisting of age, total PSA, and DRE was compared with the progression and metastasis. As a result, these kallikreins show tremendous merit in differentiating between the aggressive versus indolent forms of prostate cancer. Furthermore, their levels show little variability for up to 12 days in men undergoing evaluation for prostate cancer, making them diagnostically useful.

Evidence Supporting the Four-Kallikrein Panel in the Detection of Aggressive Prostate Cancer

The clinical utility of the four-kallikrein panel in the 4Kscore Test is based on testing over 15,000 patients reported in 10 peer-reviewed publications. The patients were part of the European Randomized Study of Prostate Cancer Screening (ERSPC), the Malmö Diet and Cancer Study, the Västerbotten Intervention Project, and the validation study recently conducted in the United States by OPKO Health (Table 1). The first clinical report of the four-kallikrein panel was among 740 previously unscreened men who underwent biopsy for a PSA $\geq 3.0$ ng/mL during the first round of screening in the Göteborg arm of the ERSPC. Among the 740 men in the cohort, 152 (21%) were diagnosed with a Gleason score 6 cancer, whereas 40 (5%) were diagnosed with a Gleason score $\geq 7$ cancer. The AUC for detecting Gleason score $\geq 7$ prostate cancer for a base model consisting of age, total PSA, and DRE was compared with the...
The four-kallikrein panel to 1000 men with elevated PSA would result in 513 men avoiding a biopsy while missing only 12 of 100 Gleason score $7$ cancers. Results from these studies consistently show the four-kallikrein panel can effectively identify high-grade disease while reducing the number of unnecessary biopsies 49% to 57% among men being screened for the first time.

The test has also been validated in men who have undergone prior screening. Among 1501 previously screened men with elevated PSA who underwent their first biopsy in the second and third round of the ERSPC Rotterdam arm, 91 patients (23% of all cancers and 6% of all patients) had Gleason score $7$ prostate cancer. The study design provided 728 participants in the training set and 2186 participants in the validation set. Among men in the validation set, 219 (10%) were found to have Gleason score $7$ prostate cancer, with a similar percentage noted in the training set. As in the previous studies, the authors found the AUC increased, in this case from 0.81 for the clinical base model (age, total PSA, and DRE) to 0.84 for a model incorporating the four-kallikrein panel for the detection of Gleason score $7$ prostate cancer. Application of the four-kallikrein panel to 1000 men with elevated PSA would result in 513 men avoiding a biopsy while missing only 12 of 100 Gleason score $7$ cancers. Results from these studies consistently show the four-kallikrein panel can effectively identify high-grade disease while reducing the number of unnecessary biopsies 49% to 57% among men being screened for the first time.

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of follow-up, the Swedish Cancer Registry identified 1423 incident cases of prostate cancer and 235 cases with distant metastasis. Among men with an elevated PSA, the concordance index for long-term risk of metastasis was 0.850 to 0.875 depending on the age group and PSA threshold. Among 2432 men with a PSA of 3 ng/mL or higher at age 60, using a 7.5% risk of Gleason 7 or higher cancer as a cutoff, the 4-kallikrein panel was able to identify 38% of the population who were low risk for prostate cancer progression. Over 15 years, the risk of prostate cancer metastasis in these men was only 1% (Figure 2). The four-kallikrein panel was able to discriminate between those who need further testing due to a high risk for prostate cancer progression to metastases and death versus those who can safely forego prostate biopsy for a low likelihood of ever having prostate cancer progression.

**Prospective US Clinical Trial: The US Validation Study**

The European studies of four-kallikrein panel, or 4Kscore Test, demonstrated that a combination of four blood kallikrein levels in a calibrated statistical model could provide an accurate estimate of an individual patient’s probability of having a Gleason score ≥ 7 cancer if a biopsy of the prostate were to be performed. However, the studies prior to 2013 were retrospective, included predominantly white populations, largely involved sextant biopsy, and the Gleason grading system used did not follow contemporary guidelines. The 4Kscore Test had never been validated prospectively with a prespecified endpoint for detection of high-grade (Gleason score ≥ 7) prostate cancer, or evaluated in a
population of men from the United States. Beginning in October 2013, and concluding in April 2014, subjects were enrolled in an OPKO Health-sponsored clinical study involving 26 independent urology clinics across the nation in a prospective, blinded validation of the 4Kscore Test. The US Validation Study enrolled men who were scheduled to undergo prostate biopsy by a urologist for clinical suspicion of prostate cancer due to abnormal PSA value or DRE result (or other reason) and were continuously enrolled at each of the centers. The inclusion criteria were purposely broad to allow an enrollment of a wide range of ages and PSA values representative of current US clinical practice. All men provided a sample of blood prior to undergoing a minimum 10-core prostate biopsy.

The 4Kscore Test is identical to the four-kallikrein panel previously studied in the ERSPC cohorts, incorporating the four-kallikrein panel of total PSA, free PSA, intact PSA, and hK2 plus age and DRE, but needed to address certain modifications for the US Validation Study: (1) the 4Kscore Test uses a fresh plasma specimen not a frozen serum specimen as described in the previous European studies; (2) the 4Kscore Test incorporates risk adjustment for a prior negative biopsy, as this can significantly lower overall risk for aggressive prostate cancer; (3) the PSA and free PSA assays used in the European studies were ProStatus™ assays (PerkinElmer, Waltham, MA). These assays are not US Food and Drug Administration (FDA) approved, so the 4Kscore Test was adapted to use the FDA-approved Elecsys Total PSA (Roche Diagnostics, Indianapolis, IN) and the Elecsys Free PSA (Roche Diagnostics) as part of the algorithm.

Because of the modifications just described, the first 300 consecutively enrolled subjects who met all eligibility requirements were used to check the calibration of the 4Kscore Test algorithm for high-grade disease prediction against the prostate biopsy results. The 4Kscore Test calibration was found to be near perfect and no adjustments were needed prior to proceeding to the validation phase of the trial.

The validation phase of the US Validation Study consisted of 1012 men, with 239 (24%) found to have a low-grade Gleason score 6 cancer and 231 (23%) found to have a Gleason score ≥ 7 cancer of the prostate. The 4Kscore Test showed excellent calibration in the validation phase of the US Validation Study, with predictions of high-grade disease risk closely matching the actual percent of Gleason score ≥ 7 found at prostate biopsy (Figure 3). The AUC for predicting a Gleason score ≥ 7 cancer for the 4Kscore Test was 0.82, consistent with the findings in all the previous European cohorts studied. The authors used various thresholds to illustrate the clinical implications of using the test (Figure 4), and reported that using a 7.5% risk of Gleason score ≥ 7 cancer as a cutoff for biopsy would result in a 36% reduction in the number of unnecessary biopsies, while delaying diagnosis of only 17 (1.7%) significant tumors. The majority of these delayed diagnoses were Gleason score 3+4 tumors and no men whose biopsy would have been avoided had true high-risk (Gleason score ≥ 8) disease. A decision curve analysis, used to determine the net benefit of providing benefit through true positives versus doing harm through false positives, was also investigated. The 4Kscore Test was compared using decision curve analysis to the Prostate Cancer Prevention Trial Risk Calculator (PCPTRC) 2.0, a commonly used individual risk calculator derived from the placebo arm of the Prostate Cancer Prevention Trial. Unlike the 4Kscore Test, the PCPTRC 2.0 provides an individual risk prediction for both indolent and aggressive cancer. The 4Kscore Test was found to have a higher net benefit compared with the
These analyses in the US Validation Study show that the 4Kscore Test accurately identifies risk for high-grade prostate cancer at prostate biopsy and provides new information to facilitate informed decision making concerning whether to undergo a prostate biopsy.

**Discussion**

A significant strength of the 4Kscore Test is that it is a well-calibrated algorithm for predicting the risk of high-grade prostate cancer prior to biopsy of the prostate and histopathology after radical prostatectomy. Long-term outcomes data have displayed that the biomarkers in the 4Kscore Test can predict the risk of prostate cancer metastases up to 20 years in advance. Two of the four biomarkers of the 4Kscore Test, total PSA and free PSA, are well-established tests and have been used previously for prostate cancer detection. However, neither total PSA nor the free:total PSA ratio has provided sufficient specificity to address the overdiagnosis and overtreatment dilemma facing early detection programs. In the 4Kscore Test, intact PSA and hK2 have been added to total PSA and free PSA to enhance the accuracy for aggressive disease prediction, and combined with clinical information (age, DRE, and whether there has been a prior negative biopsy result), the test reports a risk score predicting the probability of a Gleason score 7 cancer on a continuous scale from 0.0% to 95%. The 4Kscore Test, referenced in the scientific literature as the four-kallikrein panel, has been extensively studied in multiple cohorts, where it enhances the prediction of aggressive prostate cancer beyond available clinical information or other available biomarkers. The recent prospective PCPTRC 2.0 as a decision tool for aggressive prostate biopsy across all clinically relevant risk thresholds (Figure 5). The AUC for the 4Kscore Test (0.82) was significantly higher (P < .0001) than the AUC of the PCPTRC 2.0 (0.74) for aggressive cancer. Moreover, when comparing AUC of the 4Kscore Test among white and black men, the authors found that the CIs for the difference in AUC crossed zero (−0.064 to 0.119), implying no significant difference for the 4Kscore Test performance between white and black men.
US Validation Study confirms that these findings apply in contemporary clinical practice in the United States. The 4Kscore Test has consistently outperformed other available biomarkers in the detection of aggressive cancer on biopsy of the prostate. The accuracy of the 4Kscore Test was demonstrated in the consistently high AUC observed in multiple cohorts (0.80–0.90) and proves it is a robust and valuable tool for clinical decision making.

Another strength of the 4Kscore Test is its focus on aggressive, or lethal, cancer. Recent data from randomized trials have suggested there is little or no difference in prostate cancer mortality between men with low-risk cancer who underwent radical therapy versus those who were observed.\textsuperscript{39,40} However, several studies have reported significant detriments in HRQoL after treatment.\textsuperscript{10–12} suggesting that the benefits of treating low-risk prostate cancer do not outweigh the risks. Therefore, more efforts need to be concentrated on the identification of aggressive tumors, for which treatment can be helpful and a favorable risk-to-benefit ratio can be attained.\textsuperscript{41} The four-kallikrein biomarkers that serve as the backbone of the 4Kscore Test have demonstrated excellent accuracy in detecting aggressive cancer, both in the biopsy and the radical prostatectomy specimens.\textsuperscript{34,36} Furthermore, it is the only test, other than PSA, that has been linked to long-term endpoints, such as prostate cancer metastasis.\textsuperscript{35} By demonstrating an association to more meaningful endpoints and showing an ability to discriminate between lethal and nonlethal prostate cancer, the 4Kscore Test allows us to avoid prostate biopsy in men whose cancers are better left undetected, and focus intervention on men who are most likely to benefit from it.

A final attribute of the 4Kscore Test that is unique is the accuracy of its individualized probability prediction. The test result is a personalized positive predictive value of finding Gleason score $\geq 7$ cancer on biopsy of the prostate. This provides the patient and clinician with quantifiable information to aid in clinical decision making. For instance, a healthy, risk-averse man may favor a low threshold probability of aggressive cancer (eg, 6%) before proceeding to a biopsy, whereas an older man with shorter life expectancy due to comorbidities may opt for a much higher 4Kscore Test threshold (eg, 15%) before considering a biopsy. Such individualized risk prediction allows urologists and patients to better address the individual's personal risk prior to initiating invasive procedures and results in more informed shared decision making.

Future Studies

Although these studies have informed us about the value of the 4Kscore Test in detecting aggressive prostate cancer, future studies have been planned to further investigate and better characterize the impact of the 4Kscore Test in other areas of clinical practice. For instance, a recent prospective trial enrolled a wide variety of men of all ages and PSA values and provides an opportunity to investigate the performance of the 4Kscore Test in various subpopulations at both the low and the high end of the PSA spectrum. There is also a large...
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Conclusions

The 4Kscore Test is a reflex blood test for men who have an abnormal PSA or DRE result and are being considered for an initial or repeat prostate biopsy after a prior negative biopsy result. The 4Kscore Test can accurately identify men with aggressive prostate cancer and the risk of developing prostate cancer metastases within 20 years. Therefore, using the test to select out men with a significant probability of aggressive prostate cancer should allow us to avoid premature death from prostate cancer, while safely avoiding harmful interventions in men who do not require them. Men determined to be at low risk by the 4Kscore Test have a near zero chance of metastasis within 10 years, even if not followed further; therefore, they can be carefully monitored with serial PSA level and 4Kscore testing in place of biopsy.

The authors report no real or apparent conflict of interest.

References


MAIN POINTS

- Prostate-specific antigen (PSA) screening for prostate cancer has successfully reduced prostate cancer mortality, but has led to significant problems of overdiagnosis and overtreatment. The major concern is that an overwhelming number of men are subjected to interventions such as prostate biopsy in order to prevent one man’s death from prostate cancer.

- The most commonly used marker for detection of prostate cancer is serum PSA. However, the limitations of PSA are widely recognized. Alternative methods of utilizing PSA, such as PSA velocity and PSA density, have been reported to improve the specificity for prostate cancer detection. Although both PSA enhancements, at best, provide a slight improvement from PSA alone, these techniques are far from the magnitude of improvement needed to reliably discern between aggressive and indolent forms of prostate cancer.

- The 4Kscore Test (OPKO Lab, Nashville, TN) is a new blood test that accurately identifies the risk of aggressive prostate cancer; it plays an important clinical role as a reflex test prior to proceeding with initial prostate biopsy in men with an elevated PSA level or abnormal digital rectal examination results.

- The four-kallikrein biomarkers that serve as the backbone of the 4Kscore Test have demonstrated excellent accuracy in detecting aggressive cancer, both in the biopsy and the radical prostatectomy specimens. By demonstrating an association to more meaningful endpoints and showing an ability to discriminate between lethal and nonlethal prostate cancer, the 4Kscore Test allows us to safely avoid prostate biopsy in men whose cancers are better left alone, and focus intervention on men who are most likely to benefit from it.

- The test result itself is a personalized positive predictive value of finding Gleason score ≥ 7 cancer on biopsy of the prostate. This provides the patient and clinician with quantifiable information to aid in clinical decision making.
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